

**Dr. Peter Hsu**  
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peter.hsu@baerkarrer.ch

**BÄR  
& KARRER**

**By courier**

Epiq Bankruptcy Solutions, LLC  
Attn: Lehman Brothers Holdings Claims  
Processing  
757 Third Avenue, 3<sup>rd</sup> Floor  
New York, NY 10017  
USA

Zurich, 27 February 2015

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**Bank J. Safra Sarasin Ltd**

**Partial Transfer of Claim other than for Security, Epiq Claim No. 35896/  
ISIN No. XS0210433206**

Dear Madam, dear Sir,

In the name and on behalf of the transferee Bank J. Safra Sarasin Ltd ("**BJSS**"), we are sending you enclosed an original copy of a completed 210A transfer form (Enclosure 1), including a copy of the evidence of partial transfer of claim, regarding the partial transfer of claim other than for security, Epiq claim no. 35896/ISIN no. XS0210433206 against Lehman Brothers Holdings Inc.

The transferor is BNP Parisbas (Suisse) SA.

Please note that this partial transfer of claim no. 35896 had already been filed with Epiq in our submission dated 2 December 2014. However, Epiq considered the transfer as "defective" and therefore rejected the transfer because of the discrepancy of the currencies in the proof of claim originally filed in USD denomination and the transfer of claim filing in EUR denomination (see copy of notice of defective transfer in Enclosure 2). To remedy the transfer filing, we amended the 210A transfer form as well as the evidence of partial transfer of claim to USD denomination (as opposed to in the original EUR denomination). The fraction of the claim transferred from BNP Parisbas (Suisse) SA to BJSS has remained unchanged in the amended forms compared to the originally filed transfer forms (i.e. 29.5857988% of the total filed claim amount of USD 749'335.86).

Many thanks for your assistance.

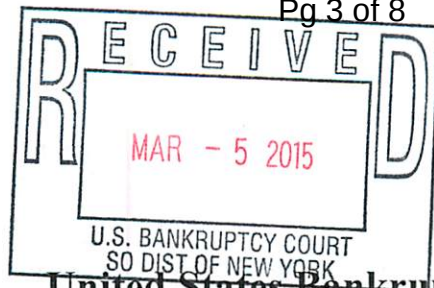
Sincerely yours,



Peter Hsu

Enclosures

Form 210A (10/06)



**United States Bankruptcy Court  
Southern District Of New York**

In re Lehman Brothers Holdings Inc., et al.,

Case Nos. 08-13555 (JMP)  
(Jointly Administered)

**NOTICE OF PARTIAL TRANSFER OF CLAIM OTHER THAN FOR  
SECURITY, PURSUANT TO FED. R. BANKR. P. 3001(e)(2)**

A CLAIM HAS BEEN FILED IN THIS CASE, by BNP Parisbas (Suisse) SA (the "Transferor"), against Lehman Brothers Holdings Inc., which has been designated as Claim No. 35896 (the "Claim"). Transferee (as that term is defined below) hereby gives evidence and notice, pursuant to Fed. R. Bankr. P. Rule 3001(e)(2), of the transfer, other than for security, of a ratable portion of the Claim, in the amount of USD 221,697 (i.e. 29.5857988% of the original filed claim amount of USD 749,335.86) (the "Transferred Portion"). For the avoidance of doubt, the Transferred Portion relates to ISIN XS0210433206 only. Transferor is retaining the remaining portion of the Claim. A copy of the Evidence of Transfer of Claim (the "Evidence of Transfer") is attached hereto as Exhibit "A" and is incorporated by reference.

Name of Transferee

Bank J. Safra Sarasin Ltd

**Name and Address where notices to Transferee  
should be sent:**

Bär & Karrer AG  
Brandschenkestrasse 90  
CH-8027 Zurich  
Switzerland  
Attn: Peter Hsu  
Tel.: +41 58 261 50 00

**- with a copy to -**

Bank J. Safra Sarasin Ltd  
Elisabethenstrasse 62,  
P.O. Box  
CH-4002 Basel  
Switzerland  
Attn: Frank Link, Senior Legal Counsel, Legal  
Clients & Corporate  
Tel.: +41 (0) 58 317 45 83  
Patrick Gribi, Head Legal Clients & Corporate  
Tel.: +41 (0) 58 317 40 67

Name of Transferor

BNP Parisbas (Suisse) SA

**Name and Address where notices  
to Transferor should be sent:**

BNP Parisbas (Suisse) SA  
Place de Hollande 2  
P.O. Box  
1211 Geneva 11  
Switzerland  
Attn: Paul Quintas  
Tel.: +41 (0) 58 212 91 32

**- with a copy to -**n/a

FILED / RECEIVED

MAR 02 2015

EPIQ BANKRUPTCY SOLUTIONS, LLC

Last Four Digits of Acct #: 4002

Last Four Digits of Acct. #: N/A

Name and Address where transferee payments




should be sent (if different from above):

Bank J. Safra Sarasin Ltd  
Elisabethenstrasse 62,  
P.O. Box  
CH-4002 Basel  
Switzerland  
Attn: Frank Link, Senior Legal Counsel, Legal  
Clients & Corporate  
Tel.: +41 (0) 58 317 45 83  
Patrick Gribi, Head Legal Clients & Corporate  
Tel.: +41 (0) 58 317 40 67

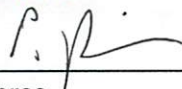
Court Claim # (if known): 35896  
Date Claim Filed: October 01, 2009  
Transferred Portion: USD 221,697 of  
the original filed claim amount of USD  
USD 749,335.86

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By:   
Transferee

Date: 20.02.2015

Name: Frank Link  
Title: Vice President

By:   
Transferee

Date: 20.02.2015

Name: Patrick Gribi  
Title: Executive Director

*Penalty for making a false statement:* Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C §§ 152 & 3571.

**Exhibit A**



**BNP PARIBAS**  
**WEALTH MANAGEMENT**

EVIDENCE OF PARTIAL TRANSFER OF CLAIM

(Cancel and replace the evidence of partial transfer of claim dated June 16, 2014)

**TO: THE DEBTOR AND THE BANKRUPTCY OF CLAIM**

For value received, the adequacy and sufficiency of which are hereby acknowledged, BNP PARIBAS (SUISSE) SA ("Transferor") unconditionally and irrevocably transferred to Bank J. SAFRA SARASIN LTD. ("Transferee") all of its rights, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No.35896) in the nominal amounts specified in schedule 1 related to the securities with international Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, INC. (the Debtor"), Chapter 11 Case No: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the partial transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the partial transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the part of claim transferred. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the part of claim transferred, and all payments or distributions of money or property in respect of the part of claim transferred, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, THIS EVIDENCE OF PARTIAL TRANSFER OF CLAIM IS EXECUTED ON JANUARY 21, 2015.

**BNP PARIBAS (SUISSE) SA**

By:

Name: Clementz Sandra

Title: Head of Securities Administration

By:

Name: Quintas Paul

Title: Corporate Actions manager

Two handwritten signatures in blue ink. The first signature is on the left, and the second is on the right, with the name 'S. CLEMENTZ' printed below it.

**Head of Securities  
Administration**

**SCHEDULE I**

**Lehman Programs Securities Related to Transferred Portion of Claim N°35896**

ISIN	Court Claim #	Date claim Filed	ISSUER	Principal/Notional amount	Portion of the original filed claim amount to be transferred
XS0210433206	35896	October 01,2009	Lehman Brothers Treasury Bv	EUR 150'000	USD 221'697

The transferred portion of claim corresponds to 29.5857988 % of the original filed claim amount (USD 749'335.86) in respect of Claim number 35896.

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,  
  
Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

Beilage  
Exhibit No. 2  
Bär & Karrer AG, Zürich

NOTICE OF DEFECTIVE TRANSFER

Transferor: BNP PARIBAS (SUISSE) SA  
F/K/A FORTIS BANQUE (SUISSE) SA  
2 PLACE DE HOLLANDE  
GENEVA 11 CH-1211 SWITZERLAND

Additional:

Transferee: BANK J. SAFRA SARASIN LTD  
C/O BAR & KARRER AG  
ATTN: PETER HSU  
BRANDSCHENKESTRASSE 90  
ZURICH CH-8027 SWITZERLAND

Your transfer of claim # 35896 is defective for the reason(s) checked below:

Other

CURRENCY DISCREPANCY: CLAIM FILED IN USD, TRANSFER IN EUR

Docket Number 47467

Date 12/03/14

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on December 26, 2014.